



PTO/SB/21 (07-06)  
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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	11/506,989
Filing Date	August 18, 2006
First Named Inventor	Go Asayama et al.
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	075834.00867

### ENCLOSURES (Check all that apply)

- |   |   |
|---|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input checked="" type="checkbox"/> Reply to Missing Parts/<br>Incomplete Application<br><input checked="" type="checkbox"/> Reply to Missing Parts<br>under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a<br>Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD<br><br><input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC<br>(Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify<br>below):<br>Original Executed Declaration and Power of<br>Attorney, Copy of Notice to File Missing<br>Parts, Post Card and check \$130.00 |
|---|---|
- Remarks  
The Commissioner is hereby authorized to charge any fees due or to credit any overpayment to  
Deposit Account No. 50-3891

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Rockey, Depke, Lyons & Kitzinger, LLC 233 S. Wacker Drive, Suite 5450, Chicago, IL 60606		
Signature			
Printed name	Robert J. Depke		
Date	10/5/06	Reg. No.	37,607

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Robert J. Depke	Date	10/5/06

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Attorney Docket No: 075834.00867

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Appl. No.:** 11/506,989  
**Applicants:** Go Asayama et al.  
**Filed:** August 18, 2006  
**Confirmation No:** 8906  
**Group Art Unit:** Unassigned  
**Docket No.:** 075834.00867  
**Customer No.:** 33448

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

**MAIL STOP: MISSING PARTS**

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The above-noted application was filed on August 18, 2006, via Express Mail. As a result of the original, executed Declaration document not being attached to said application, a Notice to File Missing Parts was mailed on September 6, 2006.

In response to the aforementioned Notice, please find enclosed herewith the following:

- a. The original Declaration and Power of Attorney for Patent Application, executed by the inventors.
- b. A copy of the aforementioned Notice to File Missing Requirements.
- c. A check in the amount of \$130.00 for payment of late filing of the executed Declaration and Power of Attorney.

If the aforementioned check is missing or insufficient, the Commissioner is hereby authorized and requested to charge Deposit Account No. 50-3891 any amount necessary to cover any costs associated with this Response or to credit any overpayment.

Dated: 10/5/06

By: 

Robert J. Depke, Reg. No. 37,607  
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
11/506,989	08/18/2006	Go Asayama	075834.00867

CONFIRMATION NO. 8906

FORMALITIES  
 LETTER

33448  
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Date Mailed: 09/06/2006

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/11/2006 FMETEKI1 00000056 11506989

01 FC:1051

130.00 OP

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

#### Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.16(f) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a non-small entity

- **\$130 Surcharge.**

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
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Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*



Office of Initial Patent Examination (571) 272-4000, or 1-800-PTO-9199, or 1-800-972-6382  
PART 2 - COPY TO BE RETURNED WITH RESPONSE